

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application) Total Number of Pages in This Submission 9 Attorney Docket Number 16319-05393 ENCLOSURES Check all that apply	Rev. 10/95 U.S. Department of Commerce Patent and Trademark Office		Application Number	09/892,223					
Croup Art Unit Number 2166 Examiner Name Not yet known				Filing Date	June 25, 2001				
Examiner Name Not yet known	TRANSI	VIITTAL FOR	M	First Named Inventor	Scott D. Cook				
Total Number of Pages in This Submission 9			endency of	Group Art Unit Number	2166				
ENCLOSURES (check all that apply) Fee Transmittal Form (in duplicate)				Examiner Name	Not yet known				
See Transmittal Form (in duplicate)	Total Number of Page	s in This Submission	9	Attorney Docket Number	torney Docket Number 16319-05393				
Issue Fee Transmittal Letter to Chief Draftsperson Letter to Chief Draftsperson Letter to Chief Draftsperson Letter to Chief Draftsperson Pormal Drawing(s): September 26, 2001 Issue Fee Transmittal Letter to Chief Draftsperson L		ENCL	OSURES	(check all that apply	()				
Signature: Attorney/Reg. No.: Amir H. Raubvogel, Reg. No. 37,070 Dated: September 26, 2001 CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Amir H. Raubvogel Dated: September 26, 2001	Return Receipt P Response to Not Assignment & Re Declaration Power of Attorne Application Data Information Discl Copies Request for Corre Request for Corre Amendment/Res After F	Form (in duplicate) k Enclosed (\$4,264.00 costcard ice to File Missing Para ecordation Cover Shee y Sheet osure Statement & PT of IDS Cited Reference ected Filing Receipt ection of Recorded Ass ponse: [] Page(s)	ts ts O-1449 ces signment	Issue Fee Trans Letter to Chief Di Formal Drawing([] Sheet(s Appeal Commun Interferences Appeal Commun (Appeal Notice, Certified Copy of After Allowance	mittal raftsperson s): i) of Figure(s) [ication to Board ication to Group Brief, Reply Brief Priority Docum Communication	d of Appeals and p ef) nent(s) n to Group			
Attorney/Reg. No.: Amir H. Raubvogel, Reg. No. 37,070 CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Amir H. Raubvogel Dated: September 26, 2001	REMARKS:			<u> </u>					
Attorney/Reg. No.: Amir H. Raubvogel, Reg. No. 37,070 CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Amir H. Raubvogel Dated: September 26, 2001	***************************************	SIGNA	TURE OF	ATTORNEY OR AGE	NT				
Attorney/Reg. No.: Amir H. Raubvogel, Reg. No. 37,070 CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Amir H. Raubvogel Dated: September 26, 2001	Signature:	A	24	n					
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	Signature:								
Express Mail Mailing Number (optional):	7,750								
6319/05393/DOCS/1210761.1	<u> </u>								

OCT 0 1 2001 \$ 0002/PTO(mod(fied)

Rev. 10/2000

PTO/SB/17 (10-00)(modified)
Approved for use through 09/29/01, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce Paterit and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$) 4,264.00

C mplete if Known						
Application Number	09/892,223					
Filing Date	June 25, 2001					
First Named Inventor	Scott D. Cook					
Group Art Unit	2166					
Examiner Name	Not yet known					
Attorney Docket Number	16319-05393					

METHOD	(ψ) 4,204.0							
METHOD OF PAYMENT		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to:		3. ADDITIONA						
Charge the indicated fees to the below mentioned deposit account.		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	e Description			
		105/ \$130	205/ \$65	Surcharge - late filin	rge - late filing fee or oath			
Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †		127/\$50	227/ \$2 5	Surcharge-late prov	charge-late provisional filing fee or cover sheet			
		147/\$2,520	147/ \$2,520	For filing a request f	ng a request for reexamination			
Applicant claims s See 37 CFR 1.27	mall entity status	115/ \$110	215/\$55	Extension for respon	nsion for response within first month [†]			
		116/ \$390	216/ \$195	Extension for respon	sion for response within second month [†]			
Deposit Account Number: Deposit Account Name: FI		117/\$890	217/\$445	Extension for respon	ion for response within third month			
•	•	118/ \$1,390	218/ \$695	Extension for respon	sion for response within fourth month			
A Duplicate Copy of this au		128/ \$1,890	228/ \$945	Extension for respon	sion for response within fifth month [†]			
2. ⊠ Payment Enclose	osed: Credit Card	119/ \$310	219/ \$155	Notice of Appeal	Notice of Appeal			
1. FILING FEE	(fees effective 10/01/2000)	141/ \$1,240	241/ \$620	Petition to revive un application	Petition to revive unintentionally abandoned application			
Large Entity Small Entity Fee Fee Code/Fee Code/Fee	Fee Fee Description Due	142/ \$1,240	242/ \$620	Utility Issue Fee (Or	Reissue)			
101/\$710 201/\$355	Utility Filing 710	143/ \$440	243/ \$220	Design Issue Fee				
106/ \$320 206/ \$160	Design Filing	122/ \$130	122/\$130	Petitions to the Com	nmissioner			
108/\$710 208/\$355	Reissue	126/ \$180	126/ \$180	Submission of Inform	mation Disclosure	Statement		
114/\$150 214/\$75	Provisional	179/ \$710	279/\$355	Request for Continu	ed Examination (I	RCE)		
	Filing	581/ \$40	581/ \$40	Recording each pate (times number of pre		er property		
SUBTOTAL (1) (\$) 710.00		146/ \$710	246/ \$355	Filing a submission (37 CFR 1.129(a))	after final rejection	n		
2. CLAIMS			0.10/\$0.00		Consultanta haran			
Large Entity Small Entity Fee Code/Fee Fee Code/Fe		149/\$710	249/ \$355	For each additional (37 CFR 1.129(b))	invention to be ex	amined		
103/ \$18 203/ \$9	Claims in excess of 20		Other fee (specify):					
102/\$80 202/\$40	Independent claims in excess of 3		Other fee (specify):					
104/\$270 204/\$135	Multiple dependent claim				SUBTOTAL	. (3) (\$) 1:	30.00	
109/\$80 209/\$40	Reissue independent	(Col. 1)	(Col. 2)		ol. 3)			
440/640 040/60	claims over original patent	For Existing	Highest Previo	usly Extr	a** F	ee	Fee Due	
110/\$18 . 210/\$9	Reissue claims in excess of 20 and over original	TOTAL 148	minus* 20 or 0	= 12	8 x 1	8 =	2304	
	patent	INDEP 17	minus* 3 or 0	= 14	4 × 8	0 =	1120	
		[] First pres	entation of multiple depend	dent claim		= [
* Subtract the greater number of Col. 2 SUBTOTAL (2) (\$)3424 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3								
SUBMITTED BY		n and amerence	, sourcer cor. I and cor.		ete (if applicable)		7,	
Typed or Printed Name	Amir H. Raubvogel			Reg. Nu		37,070	**	
					····			
Signature					Septe	mber 26, 20	001	

16319/05393/DOCS/1210768:1





IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT:

Scott D. Cook

APPLICATION NO.:

09/892,223

FILING DATE:

June 25, 2001

TITLE:

Collecting and Aggregating Creditworthiness Data

EXAMINER:

Not yet known

GROUP ART UNIT:

2166

ATTY. DKT. NO.:

16319-05393

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: September 26, 2001

Ву: ______

Amir H. Raubvogel, Reg. No. 37,070

BOX MISSING PARTS COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated August 15, 2001 received in the above-identified patent application,

- ⊠ Enclosed are:
 - □ a copy of the Notice to File Missing Parts;
 - ⊠ an original, signed Declaration;
 - □ an original, signed Power of Attorney;

 - ⊠ payment in the amount of \$4,264.00 for the

□ application filing fee;

- ⊠ missing parts surcharge.
- ☐ Other
- Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted, Scott D. Cook

Dated: September 26, 2001

Amir H. Raubvogel, Reg. No. 37,070

Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306

Tel.: (650) 858-7276 Fax: (650) 494-1417



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/892,223

06/25/2001

Scott D. Cook

16319-05393

00758 FENWICK & WEST LLP TWO PALO ALTO SQUARE PALO ALTO, CA 94306 CONFIRMATION NO. 1439 FORMALITIES LETTER

OC00000006429801

Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3424.
 - \$2304 for 128 total claims over 20.
 - \$1120 for 14 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4264.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

070578001 WARNAMAN 00000003 192555 09892223

740.00 OP 2304.00 OP

35.00 CM

.12sk.05 .72sk.05 .72sk.05

1176.00 GP 44.00 GP